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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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09/871491 PRO  
05/31/01

**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B01-088-1

First Named Inventor or Application Identifier Raulet et al.

Title Tumor Therapy

**EL071088779US**

Express Mail Label No. EL071088779US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status (see 37 CFR 1.27)
3.  Specification (Total Pages 30)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawings(s) (35 USC 113) (Total Sheets       )
5.  Oath or Declaration (Total Pages 1)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.  DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.  Computer Readable Form (CRF)
- b.  Specification Sequence Listing on: i.  CD-ROM or CD-R (2 copies); or ii.  paper
- c.  Statement verifying identity of above copies
- d.  Request to use CRF from another application

#### ACCOMPANYING APPLICATION PARTS

- 9.  Assignment Papers (cover sheet & documents(s))
- 10.  37 CFR 3.73(b) Statement (where there is an assignee)  
 Power of Attorney
- 11.  English Translation Document (if applicable)
- 12. 
  - a. Information Disclosure Statement (IDS)/PTO-1449
  - b. Copies of IDS Citations
- 13.  Preliminary Amendment
- 14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16.  Other: \_\_\_\_\_

#### 17. Priority

This application claims priority to prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_  
The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. Correspondence Address



**23379**  
(Insert Customer No. or Attach Bar Code Label here)

PATENT TRADEMARK OFFICE

- Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature: [Signature] Date: May 31, 2001

## **FEE TRANSMITTAL FOR FY 2001**

Fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** \$395.00

**Complete if Known:**

**Application No.** Not yet assigned

**Filing Date** Herewith

**First Named Inventor** Raulet et al.

**Group Art Unit** Not yet assigned

**Examiner Name** Not yet assigned

**Attorney Docket No.** B01-088-1

**METHOD OF PAYMENT** (check one)

1. [ X ] The Commissioner is hereby authorized to charge indicated fees to:

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any overpayments to:

- Applicant claims small entity status. See 37 CFR 1.27

**Deposit Account Number** 19-0750

**Deposit Account Name** Science & Technology Law Group

2. X Payment Enclosed  
X Check  
\_\_\_\_\_  
Money Order  
Other

## **FEE CALCULATION**

**1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>				
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>	<u>Fee Paid</u>
101	710	201	355	Utility application filing fee	355.
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
<b>SUBTOTAL (1)</b>				<b>\$ 355.00</b>	

## **2. CLAIMS**

<b>2. CLAIMS</b>	<u>Extra</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>18</u>	- 20 = <u>0</u>	X _____	= <u>0</u>
Independent Claims <u>2</u>	- 3 = <u>0</u>	X _____	= <u>0</u>
Multiple Dependent Claims	<u>0</u>	X _____	= <u>0</u>

<u>Large Entity</u>	<u>Small Entity</u>				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of twenty	0
102	80	202	40	Independent claims in excess of 3	0
104	270	204	135	Multiple dependent claim	0
109	80	209	40	Reissue independent claims over original patent	0
110	18	210	9	Reissue claims in excess of 20 and over original patent	0

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<b>Large Entity</b>		<b>Small Entity</b>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,240	241	620	Petition to revive unintentionally abandoned application	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Richard Aron Osman  
Signature R. Osman Date May 31, 2001  
Reg. Number 36,627 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)